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## **One Aim Illinois Whistleblower Protection Policy**

One Aim Illinois requires directors, officers, volunteers, and employees to observe high standards of business and personal ethics in the conduct of their duties and responsibilities. As employees and representatives of One Aim Illinois, we must practice honesty and integrity in fulfilling our responsibilities and comply with all applicable laws and regulations.

### **Reporting Responsibility**

This Whistleblower Policy is intended to encourage and enable employees and others to raise serious concerns internally so that One Aim Illinois can address and correct inappropriate conduct and actions. It is the responsibility of all board members, officers, employees, and volunteers to report suspected violations of law or regulations that govern One Aim Illinois' operations.

### **No Retaliation**

It is contrary to the values of One Aim Illinois for anyone to retaliate against any board member, officer, employee, or volunteer who in good faith reports an ethics violation, or a suspected violation of law, such as a complaint of discrimination, or suspected fraud, or suspected violation of any regulation governing the operations of One Aim Illinois.

An employee who retaliates against someone who has reported a violation in good faith is subject to discipline up to and including termination of employment.

## **Reporting Procedure**

One Aim Illinois has an open door policy and suggests that employees share their questions, concerns, suggestions, or complaints with their supervisor. If you are not comfortable speaking with your supervisor or you are not satisfied with your supervisor's response, you are encouraged to speak with the executive director or a member of the board of directors. Supervisors and managers are required to report complaints or concerns about suspected ethical and legal violations in writing to the executive director, who has the responsibility to investigate all reported complaints. Employees with concerns or complaints may also submit their concerns in writing directly to their supervisor or the executive director.

## **Compliance Officer**

One Aim Illinois' executive director is responsible for ensuring that all complaints about unethical or illegal conduct are investigated and resolved. The executive director will advise the Board of Directors of all complaints and their resolution and will report at least annually to the Board on compliance activity relating to accounting or alleged financial improprieties.

## **Accounting and Auditing Matters**

The executive director shall inform the Board of Directors of any concerns or complaints regarding corporate accounting practices, internal controls, or auditing and work with the Board until the matter is resolved.

## **Acting in Good Faith**

Anyone filing a written complaint concerning a violation or suspected violation must be acting in good faith and have reasonable grounds for believing the information disclosed indicates a violation. Any allegations that prove not to be substantiated and which prove to have been made maliciously or knowingly to be false will be viewed as a serious disciplinary offense.

## **Confidentiality**

Violations or suspected violations may be submitted on a confidential basis by the complainant. Reports of violations or suspected violations will be kept confidential to the extent possible, consistent with the need to conduct an adequate investigation.

## **Handling of Reported Violations**

One Aim Illinois' executive director will notify the person who submitted a complaint and acknowledge receipt of the reported violation or suspected violation. All reports will be promptly investigated and appropriate corrective action will be taken if warranted by the investigation.

**Policy approved by the Board of Directors on:**

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